

SINGAPORE INDEX FUND

APPLICATION / REALISATION FORM

To: Singapore Consortium Investment Management Limited (SICIM/The Managers)
250 Tanjong Pagar Road, #09-01, St Andrew's Centre, Singapore 088541
Company Registration No. 199607548K. The Managers' Securities Account

Account Reference No.

1	6	8	1	—	1	4	2	8	—	1	3	4	1

PERSONAL DATA

Main Applicant (age 18 & above)

Name of Applicant (Dr/Mr/Mrs/Mdm/Miss) *

(Underline Surname) (Include any alias in brackets)

Nationality/ Country of Incorporation* _____

NRIC/Passport / Registration No* _____

Date of Birth / Incorporation* _____

Email Address _____

Address _____

Tel (Home) _____ (Office) _____

Occupation _____

Annual Income _____

Source of Funds _____

Source of Wealth _____

Tax Residency Status

☐ Singapore Tax Resident

☐ Foreign Investor. Please specify tax residency status:

Are you opening this account on someone's behalf?

☐ Yes. Please specify the person you are opening this account on behalf of:

☐ No

Joint Applicant (age 18 & above)

Name of Applicant (Dr/Mr/Mrs/Mdm/Miss) *

(Underline Surname) (Include any alias in brackets)

Nationality/ Country of Incorporation* _____

NRIC/Passport / Registration No* _____

Date of Birth / Incorporation* _____

Email Address _____

Address _____

Tel (Home) _____ (Office) _____

Occupation _____

Annual Income _____

Source of Funds _____

Source of Wealth _____

Tax Residency Status

☐ Singapore Tax Resident

☐ Foreign Investor. Please specify tax residency status:

Are you opening this account on someone's behalf?

☐ Yes. Please specify the person you are opening this account on behalf of:

☐ No

SUBSCRIPTION

Please note that a preliminary charge of 2% applies on your gross investment amount.

☐ Buy S\$ _____

☐ Register units into Main Register

☐ Deposit units into my/our* CDP Securities A/c

Please tick the appropriate box under "CUSTOMER'S DECLARATION"

SUBSCRIPTION PAYMENT

☐ Cash / Cheque No*

☐ Debit Bank A/c No _____
(DBS / OCBC / UOB)*

Please note the Fund's dividend policy as stated under note 1.13 of the terms and conditions.

REALISATION

Please note that a realisation charge of 1% will apply on your gross realisation proceeds from 1 October 2026.

☐ Sell _____ Units

☐ Debit units from Main Register

☐ Debit units from my/our* CDP Securities A/c (Individual Unitholder - please sign this form before an authorised CDP officer for realisations of units of S\$1 million or over).

Please tick the appropriate box under "Customer's Declaration"

UNITHOLDER BANK ACCOUNT DETAILS

(For crediting of realisation proceeds and dividend proceeds, if any. Please complete this section for both subscriptions and realisations.)

Beneficiary Bank Name	
Beneficiary Bank SWIFT Code	
Beneficiary Account Number	
Beneficiary Account Name	
Currency	
Intermediary Bank Name	
Intermediary Bank SWIFT Address	
Charge Code	BEN (all bank charges to be borne by the Beneficiary)
Unitholder(s) Signature (For Joint-All Account, both unitholders will need to provide their signatures.)	

CUSTOMER'S DECLARATION

1. I/We* acknowledge that I/we* have received the prospectus relating to the Singapore Index Fund ("Prospectus"), and that this application is made pursuant to the Prospectus. I/We* undertake to observe and be bound by the provisions of the Trust Deed (as amended from time to time) relating to the Singapore Index Fund ("Fund"), the Prospectus and the terms and conditions at the end of this form ("Terms and Conditions").
2. I/We* declare that I/we* am not/are not a U.S. Person or U.S. Taxpayer, as defined in the Prospectus for the purpose of determining my/our qualification for subscription in the Fund, and that the units in the Fund are not being held beneficially by or for a U.S. Person or U.S. Taxpayer or in violation of any applicable law. I/We* acknowledge and agree to inform you promptly as and when my/our tax status and classification changes.
3. I/we* represent and warrant that all personal information in this application/realisation form, account opening documents and/or otherwise provided by me/us is true and accurate, and that I/we have read and understood all of the Terms and Conditions.
4. I/we* undertake to notify the Managers of any change from time to time with respect to any information, representations, warranties, and confirmations provided pursuant to this form and to provide such further information, representations, warranties and confirmations as the Managers may require from time to time.
5. I/we* agree to indemnify and hold harmless the Managers, the Trustee and their respective directors, officers, employees, affiliates and agents ("Indemnified Parties") from and against any and all loss, damage, liability and expense, including costs, legal fees and disbursements, which the Indemnified Parties may incur by reason of, or in connection with, any representation or warranty made in this form and any ancillary documentation not having been true and accurate when made, any failure by me/us to fulfil any of the agreements set out in this form or any failure to process this application / realisation form by the Indemnified Parties if information has been requested by the Indemnified Parties has not been provided by me/us.

Applicant's Signature

Date

(For corporation, authorised signatories of Applicant and company stamp to be affixed)

Joint Applicant's Signature

Date

Please select the relevant account type:

☐ Joint-All Account

☐ Joint-Alternate Account

FOR MANAGERS' USE

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- Witnessed by CDP

Date _____

**FOR AGENT BANK USE
(DBS / OCBC / UOB)***

FOR REGISTRAR USE

Issue/Realisation Price*	No. of Units
Checked By	Authorised By

FOR CDP USE

	Signature	Date
Received by		
Verified by		
Effected by		
Checked by		

* Delete where applicable

IMPORTANT – TERMS AND CONDITIONS

Please read the following terms and conditions before completing this form.

- APPLICATION**
- 1.1 Units in the Singapore Index Fund (“**Fund**”) are sold only on the basis of information contained in the accompanying prospectus (“**Prospectus**”). All other information or representations must be regarded as unauthorised and must not be relied on.
- 1.2 All units are issued pursuant to the provisions of the trust deed dated 12 November 1996 constituting the Singapore Index Fund (as amended) (“**Trust Deed**”). A copy of the Trust Deed may be purchased from the Managers at S\$25 each.
- 1.3 All application forms which are received before 3.00 p.m. on a Dealing Day, if accepted, will be transacted at that Dealing Day's issue price calculated in accordance with the Trust Deed. Application forms received after 3.00 p.m. on a Dealing Day or on a day which is not a Dealing Day will be treated as having been received on the next Dealing Day. A “**Dealing Day**” means every Business Day or such Business Day or Business Days at such intervals as the Managers may from time to time determine and reasonable notice of any such determination will be given by the Managers to all unitholders at such time and in such manner as the Trustee may approve. A “**Business Day**” means a day (other than Saturday, Sunday or a gazetted public holiday) on which commercial banks are open for business in Singapore and the SGX-ST is open for trading.
- 1.4 Unitholders should note that:
- (i) Subscriptions may be subject to charges (including any preliminary charge) as may be imposed by the Managers; and
- (ii) Initial subscription applications must be for a minimum of S\$1,000 with subsequent subscriptions of at least S\$1,000. Duly completed application forms received by the Managers are irrevocable by the applicant. Proof of postage is not proof of delivery.
- 1.5 If an applicant does not indicate on this form that units are to be credited into his Securities A/c at the Central Depository (Pte) Limited (“**CDP**”), the units issued will be registered in the Main Register kept by the Registrar. If he indicates that the units are to be credited into his Securities A/c but his Securities A/c number is not given or given incorrectly, the application will be rejected.
- 1.6 An applicant should make payment either by instruction to debit his bank account with any of the three agent banks: DBS Bank Ltd (DBS), Oversea Chinese Banking Corporation Ltd (OCBC), United Overseas Bank Ltd (UOB), and their subsidiary banks; or by a crossed cheque, cashier's order or banker's draft drawn in Singapore currency made payable to Singapore Consortium Investment Management Limited; or by cash. Cash payment must be made in person at any Singapore branch of the three agent banks and their subsidiary banks. An applicant who wishes to make payment by telegraphic transfer should contact the Managers for details regarding such form of payment. All bank charges incurred in respect of a telegraphic transfer will be borne by the applicant.
- 1.7 Application by a corporation must be accompanied by a copy of its Constitution, corporate resolution, a list of authorised signatories and their respective specimen signatures.
- 1.8 Application by an individual must be accompanied by a certified true copy of the applicant's identity card/passport/other identification and a copy of his proof of address (i.e., telephone bill/ bank statement/ correspondence from governmental agency).

- 1.9 There may be a maximum of two applicants for each application and the main applicant must be 18 years of age and above. Where the joint applicant is a minor, a copy of the minor's birth certificate must be submitted together with this form. Joint applicants may apply under 1 of 2 types of accounts:
- (i) Joint-All Account
Both applicants must sign this form. The Managers and the Trustee will only act on a mandate given by both joint holders. The main applicant must be at least 18 years old. Where the joint applicant is a minor (below 18 years old), this form must be signed by the parent or the guardian of the minor together with the main applicant.
 - (ii) Joint-Alternate Account
Both applicants must sign this form for the initial subscription. Subsequently, the Managers and the Trustee will act on a mandate given by either of the joint holders. Both applicants must be at least 18 years old.
- 1.10 The Managers reserve the right to issue fewer units than the number applied for or refuse any application without assigning any reason. Unaccepted applications will be returned to the applicant by ordinary post at the risk of the applicant within 10 Business Days of the application. Unsuccessful applicants will have their application moneys returned within 10 Business Days after their applications are received by telegraphic transfer or such other means. All application moneys will be returned without any interest or any other connected benefit.
- 1.11 An applicant whose subscription application is accepted will receive a confirmation note from the Managers indicating the number of units issued and the applicable issue price. For an applicant who wishes to have his units credited into his Securities A/c, a notification will be sent by CDP, indicating the number of units which have been credited to his Securities A/c. No certificates will be issued to the applicant.
- 1.12 Dividend proceeds will automatically be reinvested in further units of the Fund unless the Managers receive written instruction from the unitholder to receive the dividend proceeds in cash. Such further units will be issued at the issue price applicable to the relevant Dealing Day.
- 1.13 The Managers reserve the right to recover from the applicant any loss incurred by the Managers due to non-availability of funds in his bank account.
- 1.14 Tax certificates will be issued to each unitholder on each distribution date (i.e., the tax certificate will be issued according to the registered name(s) appearing on the Register of Members of the Fund as at date of distribution entitlement).
- 1.15 An applicant who wishes to transfer units to his Securities A/c should ensure units are credited to the "free" balance in his Securities A/c before transacting his units. An applicant may be subject to charges for transactions relating to the Securities A/c as may be imposed by CDP/Managers.
- 1.16 The Managers and CDP must be notified immediately of any change of a unitholder's name, bank account details and/or registered / mailing address. The Managers must similarly be notified of any change of unitholder's registration details or Tax Residency Status.
- 1.17 An applicant should note that references to "Singapore Tax Resident" shall have the meaning given in the Income Tax Act 1947 and "Foreign Investor" shall have the meaning given in the Income Tax (Income from Funds Managed for Foreign Investors) Regulations.
- 1.18 An applicant who has any doubt as to whether he qualifies as a Singapore Tax Resident or a Foreign Investor is strongly urged to consult his professional tax advisers.
- 1.19 If the section on the tax residency status is not completed, the applicant will be deemed to be a Singapore Tax Resident.
- 1.20 By signing this form, the applicant consents to the collection, use and disclosure of his personal data (as defined in the Personal Data Protection Act 2012) to the three agent banks, their subsidiary, CDP, Singapore Exchange Limited (SGX), the Trustee, the Registrar, the Managers or its agents or service providers for the purposes of processing this form, updating the internal records and public records of the Managers and/or the Trustee, making any disclosure as required by law or regulations, any purposes necessary or incidental to the proper administration of the Fund, and such other purposes as set out in (i) the Trustee's data protection policy and data privacy statement and (ii) the Managers' data privacy statement (as each may be amended from time to time), copies of which are available at <https://www.business.hsbc.com.sg/en-sg/regulations/privacy-and-security> and <https://sicim.com.sg/privacy/> respectively.

2. REALISATION

- 2.1 All units are realised pursuant to the provisions of the Trust Deed.
- 2.2 All realisation forms which are received before 3.00 p.m. on a Dealing Day, if accepted, will be transacted at that Dealing Day's realisation price calculated in accordance with the Trust Deed. Realisation forms received after 3.00 p.m. on a Dealing Day or on a day which is not a Dealing Day will be treated as having been received on the next Dealing Day.
- 2.3 This form must be used for realisation and be submitted in person to the Manager or at any Singapore branch of the three agent banks and their subsidiary banks through whom the units were originally purchased. A unitholder should indicate on the form whether the units to be redeemed are to be debited from his Securities A/c with CDP or from the Main Register kept with the Registrar. A unitholder whose units were credited into a Securities A/c with CDP must have his units transferred out of his Securities A/c before the units are realised by the Managers.
- 2.4 Realisation proceeds will be paid by telegraphic transfer into the bank account indicated above or (for units credited into a Securities A/c with CDP) by telegraphic transfer with a payment advice from the CDP or any other method as may be imposed by the CDP, as applicable. All bank charges incurred in respect of a telegraphic transfer of realisation proceeds will be borne by unitholders. Please furnish your bank account details in the box provided above.
- 2.5 Both joint-all holders and any of the two joint-alternate holders must sign this form. For joint-all accounts with a minor, no further instructions for realisation will be accepted upon the death of the adult joint-all holder until the minor reaches 18 years old.
- 2.6 Unitholders should note that realisations may be subject to:
- (i) Charges (including any realisation charge) as may be imposed by the Managers; and
 - (ii) Realisation gates, suspensions, a minimum holding amount and/or a minimum realisation amount.

3. APPLICATION / REALISATION FORM

- 3.1 The terms and conditions may be subject to changes in accordance with the Trust Deed.
- 3.2 Submission of this form purporting to be signed by the unitholder shall be conclusive evidence in favour of the CDP, the Managers and the Trustee of the unitholder's title to deal with the units.
- 3.3 This form should be read in conjunction with the Prospectus.
- 3.4 The Managers and/or the Trustee may reject any form that is incomplete, inaccurate, illegible, damaged, improperly executed, or otherwise defective or non-compliant with the requirements prescribed by the Managers and/or the Trustee.